

02/14/01  
JCS9 U.S. PTO

02-15-01  
A

## UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

SNY-P4255.01

JCS9 U.S. PRO  
02/14/01  
02/14/01

### TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Toshiro Ozawa, entitled Method and Apparatus for Controlling Set-Top Box Hardware and Software Functions, for a(n).

(X) Original Patent Application.

( ) Continuing Application (prior application not abandoned):

( ) Continuation      ( ) Divisional      ( ) Continuation-in-part (CIP)  
of prior Application No. \_\_\_\_\_, filed on \_\_\_\_\_

( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

(X) Specification; 30 Total Pages.      (X) Drawing(s); 3 Total Sheets.

(X) Oath or Declaration:

(X) A Newly Executed Combined Declaration and Power of Attorney:

(X) Signed.      ( ) Unsigned.      ( ) Partially Signed.

( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

( ) Power of Attorney.      (X) Return Receipt Postcard.

( ) Associate Power of Attorney.      ( ) A Check in the amount of \$ \_\_\_\_\_ for the Filing Fee.

( ) Preliminary Amendment.      ( ) Information Disclosure Statement and Form PTO-1449.

( ) A Certified Copy of Priority Documents (if foreign priority is claimed)

( ) Statement(s) of Status as a Small Entity.

( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.

(X) Credit Card Payment Form. Charge any under payment or credit overpayment to deposit account # 501267.

(X) Other: Assignment and Cover Sheet

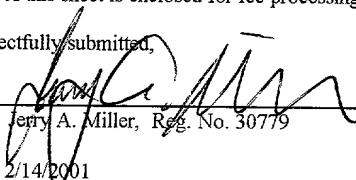
### CLAIMS AS FILED

FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	52	32	\$18.00	\$576.00
Independent Claims	8	5	\$80.00	\$400.00
Multiple Dependent Claim Fee (if applicable)				\$0.00
Assignment Recording Fee (if applicable)				\$40.00
Basic Filing Fee				\$710.00
		Total Filing Fee		\$1,726.00

The Commissioner is hereby authorized to charge any underpayment or credit any overpayment to Deposit Account 50-1267. A duplicate copy of this sheet is enclosed for fee processing against this Deposit Account.

Respectfully submitted,

By:

  
Jerry A. Miller, Reg. No. 30779

Date: 2/14/2001

Correspondence Address:

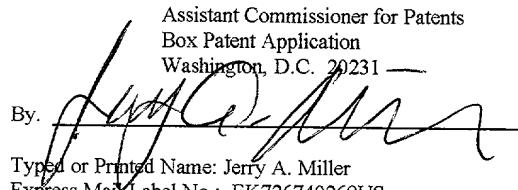
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Miller Patent Services  
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I hereby certify that the above items are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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**United States Patent & Trademark Office****Credit Card Payment Form****Please Read Instruction before Completing this Form****Credit Card Information**

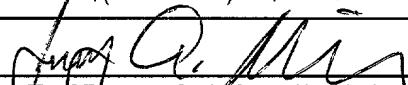
<b>Credit Card Type:</b>	Visa	MasterCard	American Express	Discover
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Credit Card Account #: **AMERICAN EXPRESS # 3727 114062 71009**

Credit Card Expiration Date: 10/02

Name as it Appears on Credit Card: Jerry A. Miller

Payment Amount: \$(US Dollars): \$1726.00

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Date: 2/14/2001

**Refund Policy:** The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR 1.26). Refund of a fee paid by credit card will be via credit to the credit card account.

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**Request and Payment Information**

Description of Request and Payment Information: Filing fee of \$1686.00 plus assignment recording fee of \$40.00.

Patent Fee	Patent Maintenance Fee	Trademark Fee	Other Fee
Application No.	Application No.	Serial No.	IDON Customer No.
Patent No.	Patent No	Registration No.	
Attorney Docket No. SNY-P4255.01		Identify or Describe Mark	

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